

**Course 3 - Risk and Resilience Management – Module 2**

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**1.1 Developing Threat-Asset Pairs**



**Notes:**

In Module 2 we will learn more about Threat-Asset pairs

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**1.2 Agenda**

**Agenda**

- Discuss what makes assets critical
- Provide examples of critical assets
- Define 1000 reference threats
- Identify sources of threat and hazard information
- Show how to conduct preliminary screening using a threat-asset pair matrix



**Notes:**

In this Module we are going to discuss:

- What makes assets critical
- Provide examples of critical assets
- Define 1000 reference threats
- Identify sources of threat and hazard information, and
- Show how to conduct preliminary screening using a threat-asset pair matrix

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**1.3 Learning Objectives**

**Learning Objectives**

- ✓ Select critical assets
- ✓ Identify common threats and hazards
- ✓ Describe how to conduct preliminary screening of threat-asset pairs



**Notes:**

These are the learning objectives for this module. After successfully completing this module, course participants will be able to select critical assets, identify common threats and hazards, and describe how to conduct preliminary screening of Threat-Asset Pairs.

**1.4 Asset Characterization**

**Asset Characterization**



**Notes:**

Dependent on course that it is optional, can include a targeted reference to the information of the slide.

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**Notes:**

In Module 1, we presented the definition of Asset Characterization. In the next few slides, we will:

- Drill down into what makes assets critical
- Discuss what the AWWA says about critical assets
- Explore resources you can use to help select critical assets, and
- Provide some examples

**1.5 Asset Characterization**

**Asset Characterization**

- First review the utility mission statement
- Interpret the mission statement to yield actionable criteria
- What assets are required to meet the mission of your utility



**Notes:**

Critical assets are those assets critical to delivering the mission of the utility. So before selecting critical assets, it is useful to review the utility mission statement. Unfortunately many utility publicly facing mission statements are very high-level. You may find the need to interpret your mission statement to yield more actionable mission criteria that will help better focus on the utility's most important priorities.

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**1.6 Asset Characterization**

**Asset Characterization**

- Example of a publicly facing, high-level mission statement:

The Water Authority is committed to providing high quality and reliable water to our customers at an affordable rate through sound business practices and responsible stewardship of our water resources.



**Notes:**

Here is an example of a high-level mission statement that a utility may have on their website.

The Water Authority is committed to providing high quality and reliable water to our customers at an affordable rate through sound business practices and responsible stewardship of our water resources.

This is a great mission statement, but it is too high-level to be used directly to make decisions about critical assets. The utility needs to decide which tasks and jobs are most important, and it is hard to make those kinds of decisions based upon a high-level mission statement.

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**1.7 Asset Characterization**

### Asset Characterization

- These are examples of actionable mission criteria
  - Meet all quality and regulatory requirements 100% of the time
  - Provide adequate pressure, flow rate, and volume to meet the needs of all customers under all foreseeable circumstances
  - Adequately provide for the protection, variation, potable, commercial, and industrial uses
  - Understand and meet the needs of critical customers
  - Continually manage critical assets in a priority manner and apply the necessary resources to prevent unexpected failures

**Notes:**

We are not suggesting that the utility re-write their mission statement, but it is a great exercise to conduct in a stakeholder workshop for "top-down" use to space, the high-level mission statement into more actionable criteria.

Here is an example of doing that:

- Meet all quality and regulatory requirements 100% of the time
- Provide adequate pressure, flow rate, and volume to meet the needs of all customers under all foreseeable circumstances
- Adequately provide for fire protection, sanitation, potable, commercial and industrial uses
- Understand and meet the needs of critical customers
- Continually manage critical assets in a priority manner and apply the necessary resources to prevent unexpected failures

With this level of granularity we can begin to focus on the assets that are required to achieve these criteria.

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**1.8 Critical Components**

### Critical Components

**Notes:**

The AWA requires, at a minimum, that these types of critical components be considered when conducting a risk and resilience assessment.

- Pipes
- Constructed conveyances
- Physical barriers
- Source water
- Raw water collection and intake
- Pretreatment
- Treatment
- Storage and distribution facilities
- Financial infrastructure
- Monitoring systems
- Electronic, computer, and other automated systems

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**1.9 Asset Characterization**

### Asset Characterization

- The AWA list of critical components is a good starting point
- It is important to define specific critical assets for your utility
- Refer to your asset management program for asset criticality scores
- Consider the assets identified as critical in past vulnerability assessments

**Notes:**

The AWA list of critical components is a good starting point, but it is important to define critical assets that are specific for your utility. A good place to start is your asset management program. This likely includes a list of assets prioritized by criticality score. However, we can't rely 100% on the asset management program to identify all your critical assets because the criteria for classifying an asset as critical in the asset management program is primarily based on operational considerations, whereas in a risk and resilience assessment there are other considerations such as human, social, environmental, and regional impacts, as well as dependencies. Back in 2015 to 2020, when your utility performed a vulnerability assessment, the assets considered critical at that time can also inform your current day assessment.

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**1.10 Example Critical Assets**

### Example Critical Assets

- Pipes and constructed conveyances
  - Large diameter transmission mains, tunnels, siphons
  - Lines that create looping networks
  - Lines that supply a critical customer
  - Interconnect with an adjacent water system
  - Dead end line serving an island

**Notes:**

Now let's discuss some examples of critical assets. The first category we will consider is pipes and constructed conveyances. This category might include such things as:

- Large diameter transmission mains, tunnels, siphons
- Lines that create looping networks
- Lines that supply a critical customer and
- Interconnect with an adjacent water system.

Consider a utility with a non-redundant, dead end line serving an island. Obviously, that line is pretty critical!

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**1.11 Example Critical Assets**

### Example Critical Assets

- Source water, intake structures, raw water collection and transmission
  - Surface water sources, dams, reservoirs
  - Groundwater sources, aquifers, wells, and fields
  - Intake structures
  - Aquifer storage and recovery systems
  - Raw water pumping and transmission, raw water canals
  - Raw water storage ponds or reservoirs

**Notes:**

Here are some example critical assets that fall within the category of Source water, intake structures, raw water collection, and transmission:

- Surface water sources, dams, reservoirs
- Groundwater sources, aquifers, wells, and fields
- Intake structures
- Aquifer storage and recovery systems -- many utilities use these types of systems for peak times in the summer; they may not be critical in winter, but because they are critical part of the year, they are still considered critical assets
- Any utility that uses a surface water source will have raw water pumping and transmission
- Water Treatment Plants may use raw water canals to convey water from the source to the plant.
- Many utilities have raw water storage ponds
- Some utilities may have storage in creative locations, such as an abandoned quarry for use as a raw water storage reservoir.

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**1.12 Example Critical Assets**

### Example Critical Assets

- Pretreatment and treatment processes
  - Pretreatment to reduce turbidity
  - PAC pretreatment for Trihalomethane precursors
  - Preozonation
  - Conventional flash mix, coagulation, sedimentation, flocculation
  - Membrane technologies
  - Disinfection
  - Corrosion control

**Notes:**

Here are some examples of critical assets that fall within the category of Pretreatment and treatment processes:

- Pretreatment for turbidity reduction
- Preoxidation Activated Carbon pretreatment for Total Trihalomethane precursors
- Preozonation
- Conventional flash mix, coagulation, sedimentation, filtration
- Membrane technologies
- Aqueous Chlorine, Disinfection and Corrosion control

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**1.13 Example Critical Assets**

**Example Critical Assets**

- Storage and distribution facilities
  - Reservoirs, elevated tanks, standpipes, hydro-pneumatic tanks
  - High service pumping
  - Distribution system reservoir
  - Pressure zone interconnects and PRVs
  - Dead end lines

**Notes:**

Here are some examples of critical assets that fall within the category of Storage and distribution facilities

- Reservoirs, elevated tanks, standpipes, hydro-pneumatic tanks
- High service pumping
- Distribution system network
- Pressure zone interconnects and PRVs
- Perhaps dead end lines, depending on the criticality of what that line is serving.

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**1.14 Example Critical Assets**

**Example Critical Assets**

- Electronic, computer, and other automated systems
  - Process Control Systems
  - Enterprise Systems
- Financial infrastructure
  - Computer networks that are critical to customer billing, procurement, etc.
- All of these assets are vulnerable to cyber attack.

**Notes:**

In the category of Electronic, computer, and other automated systems, we need to consider both

- Process Control Systems
- Enterprise Systems

The term "Financial Infrastructure" is a reference to the accounting and financial business systems operated by a utility, such as customer billing and payment systems.

All of these assets are vulnerable to cyber attacks

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**1.15 Connectivity = Exposure**

**Connectivity = Exposure**

**Notes:**

Water utilities generally have at least two different network platforms: Process Control Systems and Enterprise Systems.

The Process Control Systems include things like

- Operational controls for treatment, chemicals, pumping, valves
- Sensors for water quality, tank levels, flow meters
- Utility safety systems
- Historical operational data
- And perhaps security systems, cameras, access control systems

Enterprise Systems include things like

- Customer information, billing
- Human Resources information, payroll, insurance
- Vital business records, contracts, legal records
- Asset management systems, work order generation, procurement
- Geographic Information Systems (GIS)
- And Laboratory Information Management Systems

In many cases, there is a high degree of interconnectivity between these network platforms, and that introduces vulnerabilities to cyber attacks

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**1.16 Which of the following would likely be considered critical water system assets? Check all that apply.**  
(Multiple Response, 20 points, 3 attempts permitted)

**Activity**

Which of the following would likely be considered critical water system assets? Check all that apply.

A. Metering systems used for

B. Enterprise systems used for

C. Interconnects and pressure zone systems

D. Aquifer storage and recovery systems

E. Supervisory Control and Data Acquisition Systems (SCADA)

Correct	Chosen
X	A. Metering systems used for
X	B. Enterprise systems used for
X	C. Interconnects and pressure zone systems
X	D. Aquifer storage and recovery systems
X	E. Supervisory Control and Data Acquisition Systems (SCADA)

**Feedback when correct:**

That's right! You selected the correct response.

**Feedback when incorrect:**

You did not select these correct responses.

**Notes:**

Correct answer: A, C, D, and E

A) Correct - Metering systems used for

B) Incorrect - An irrigation line is a source of water but not critical to the utility's mission

C) Correct - An interconnect with another water system would be a critical asset

D) Correct - An aquifer storage and recovery system would be a critical asset

E) Correct - A Supervisory Control and Data Acquisition System (SCADA) would be a critical asset

**1.17 Threat Characterization**

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**1.17 Threat Characterization**

**Threat Characterization**

**Notes:**

We discussed the definition of Threat Characterization in Module 1. Now we will drill down more into this topic and discuss:

- 1200 reference threats and hazards
- Example custom threats, and
- Sources of threat and hazard information

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**1.18 1200 Reference Threats**

**1200 Reference Threats**

- 1200 provides a detailed list of reference threats and hazards
  - Natural hazards
  - Dependency and proximity hazards
  - Malicious threats
- This is an example of how 1200 takes an all-hazards approach

**Notes:**

One great thing about 1200 is that it provides us with a list of reference threats and hazards and a lot of detailed characterization information. These reference threats and hazards fall within the following categories:

- Natural hazards
- Dependency and proximity hazards
- Malicious threats

This is an example of how 1200 takes an all-hazards approach

Please click on the link in the upright hand corner to download a handout of the 1200 reference threats and hazards. This will be useful when taking the quizzes contained within this Module.

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**1.19 1100 Reference Threats**

**1100 Reference Threats**

- Natural Hazards
  - Hurricanes
  - Earthquakes
  - Tornadoes
  - Floods
  - Wildfires
  - Ice storms



**Notes:**  
The natural hazard category has reference threats for:

- Hurricanes
- Earthquakes
- Tornadoes
- Floods
- Wildfires, and
- Ice storms

And each of these is divided into multiple levels of intensity. For example, 1100 hurricane intensities range from 1 thru 5, which align with the Saffir-Simpson categories.

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([https://commons.wikimedia.org/wiki/File:Hurricane\\_Isabel\\_from\\_ISS.jpg](https://commons.wikimedia.org/wiki/File:Hurricane_Isabel_from_ISS.jpg))

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**1.20 1100 Reference Threats**

**1100 Reference Threats**

- Dependency and proximity hazards
  - Utilities
  - Key suppliers
  - Key employees
  - Key customers
  - Transportation
  - Proximity



**Notes:**  
The dependency and proximity hazard category includes reference threats for:

- Utilities
- Key suppliers
- Key employees
- Key customers
- Transportation
- Proximity

Some of these can be sub-divided further. For example, utilities can include things such as electric power, natural gas, and communications. Key suppliers can include such things as water treatment chemicals, diesel fuel, and temporary equipment rental.

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**1.21 1100 Reference Threats**

**1100 Reference Threats**

- Malicious threats
  - Contamination
    - Delivered (sabotage, physical, active shooter)
    - Thrift or diversion (physical, cyber)
    - Cyber insider or outsider
  - Maritime
  - Aircraft
  - Vehicle Borne Bomb
  - Assault teams



**Notes:**  
The malicious threat category includes reference threats for:

- Contamination
  - Delivered threat such as physical (sabotage or an active shooter)
  - Thrift or diversion (either physical or cyber)
- Cyber insider or outsider
- Maritime
- Aircraft
- Vehicle Borne Bomb
- Assault teams

Again, many of these have multiple levels of magnitude or specificity, such as 4 different sizes of vehicle bombs and 5 different types of contamination.

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**1.22 1100 Reference Threats**

**1100 Reference Threats**

- Consider all of the reference threats and select the ones that are applicable to your utility
- Set aside inappropriate, highly unlikely, or low consequence threats and hazards
- Document the rationale for your decisions
- Also consider custom threats unique to your utility



**Notes:**  
If you want your 1100 assessment to achieve SAFETY Act designation, you must consider all of the reference threats. That does not mean you have to select all of them, but you must consider the "worst reasonable" threats and hazards that are applicable to each of your critical assets. Then you may not select inappropriate, highly unlikely, or low consequence threats and hazards. For example, in North Dakota we would likely select Tornado as a hazard, but not Hurricane, Urban areas in Pennsylvania would likely select Ice Storm, but not Wildfire.

Make sure you document that you considered all of the reference threats and then document why you chose not to follow through with some of them. You should also consider custom threats unique to your utility.

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**1.23 Example Custom Threats**

**Example Custom Threats**

- Hydrologic changes
- Derecho
- Workplace violence
- Ransomware
- Tertiary supply chain dependencies



**Notes:**  
Here are some examples of custom threats that are not in the 2020 version of 1100. Some of them are under consideration and may be included in future versions of 1100. But in the meantime, it is appropriate for you to consider all relevant threats and hazards that could potentially cause negative impacts to your critical assets. Here are a few examples of potential custom threats and hazards:

- Hydrologic change
- Derecho
- Workplace violence
- Ransomware
- Tertiary supply chain dependencies

High-velocity winds (derechos), air water intrusions, and elevated sea. Adverse tsunamis in right-hand side associated with a hurricane tornado. Dam and elevated sewer utilities the Eastern U.S. A new emergency management power and global pandemic. Workforce violence and terrorism. Other types of workplace violence can have major impact on the utility's mission. There have been multiple related fatalities in water utilities across the U.S. Ransomware attacks are a threat to all knowledge and have impacted water utilities. Tertiary supply chain dependencies were a big deal during the recent hurricane season in eastern NC. There were multiple fatalities that could supply chain back from your threat and gather. Acquire new, single manufacturer on the distribution. Sometimes powered liquid threats to fully understand your dependencies.

Photo: [publicdomainpictures.net/public-domain/Drought-Lake](https://www.publicdomainpictures.net/public-domain/Drought-Lake)  
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**1.24 Sources of Threat**

**Sources of Threat and Hazard Information**

- Local hazard mitigation plan
- EPA, FEMA, USGS, NOAA
- Water ISAC, Inland
- Local law enforcement, FBI, DHS
- ICS-CERT
- Previous real-life experiences



**Notes:**  
Now let's talk about some sources of threat and hazard information. One source is the local hazard mitigation plan. This is a publicly available document that can be obtained from the local planning commission that discusses natural hazards in the area along with their probabilities. There are also many federal agencies and law enforcement organizations that can provide valuable insight into applicable threats and hazards. We can obtain flood information from FEMA, earthquake information from USGS, and hurricane information from NOAA. Local law enforcement and the FBI are good sources of criminal and perhaps terrorist activity. Both DHS and ICS-CERT are good sources of cybersecurity information.

Of course, one of the best sources is previous real-life experiences. If it has happened before, and it has not been completely mitigated, then it may happen again.

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**1.25 Sources of Threat**

**Sources of Threat and Hazard Information**

- AWA requires EPA by August 1, 2019 to provide baseline information on malvolent acts of relevance to community water systems
  - Acts that substantially disrupt the ability to provide safe and reliable drinking water
  - Acts that otherwise present significant public health or economic concerns to the community

**Notes:**

There are a couple of new requirements contained in the AWA that will make additional threat and hazard information available to utilities. First, by August 1, 2019, EIRs are required to provide baseline information on malvolent acts of relevance to community water systems. This includes:

- Acts that substantially disrupt the ability to provide safe and reliable drinking water
- Acts that otherwise present significant public health or economic concerns to the community

EIRs will be developing this information in consultation with appropriate departments and agencies of the Federal Government and with State and local governments.

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**1.26 Sources of Threat**

**Sources of Threat and Hazard Information**

- AWA requires states to provide community water systems EPCRA Tier II information
  - It is important for water utilities to know of substances that are stored by other entities upstream of their raw water intakes
  - Spills of such substances could contaminate treatment and distribution system and create significant public health issues

**Notes:**

The second new development contained in the AWA is that it will become easier for utilities to obtain EPCRA Tier II data for entities that could pose proximity threats to their source water or assets.

As you may know, the Emergency Planning and Community Right to Know Act (EPCRA) requires facilities to report emergency and hazardous chemical information each year to their state and local emergency response officials and local fire departments. Many utilities have to do EPCRA reporting themselves because they store reportable quantities of certain hazardous chemicals such as chlorine gas, and strong acids and bases. But, it is also important for water utilities to know of substances that are stored by other entities upstream of their raw water intakes because a spill of such substances could contaminate the treatment and distribution system and create significant public health issues.

A real life example of this threat occurred in 2014 when Freedom Industries spilled chemical into the Elk River stream of the Charleston, WV raw water intake. This resulted in 300,000 people having non-potable water for over a month. The impacts of this incident led to the new provisions on source water protection in AWA.

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**1.27 Example Threat Workshop**

**Example Threat Workshop**

- Utility representatives
- Local law enforcement
- Local emergency management
- DHS
- FBI
- U.S. Army Corps of Engineers
- Military base representatives (critical customer)

**Notes:**

You can obtain and assemble a lot of the required threat data through phone calls and internet searches, but we highly recommend conducting a threat workshop, where you invite all relevant stakeholders to a meeting to discuss and prioritize threats and hazards. Here are some example entities that we included in a threat workshop for a recent risk assessment project:

- Utility representatives such as Operations, Maintenance, Engineering, IT Security, and Safety
- Local law enforcement
- Local emergency management
- DHS
- FBI
- U.S. Army Corps of Engineers, and
- Military base representatives: in this case the military base was a critical customer, plus they have their own intelligence organization.

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**1.28 Malvolent threats (Multiple Response, 10 points, 3 attempts permitted)**

**Activity**

Select all of the items below that identify as Malvolent threats.

- Terrorism
- Earthquake
- Process sabotage
- Active shooter
- Telephones
- Intentional contamination
- Electric power
- Drought
- Truck bombs
- Wildfire

Correct	Chosen
X	Radiation
	Earthquake
X	Process sabotage
	Active shooter
	Telephones
X	Intentional contamination
	Electric power
	Drought
X	Truck bombs
	Wildfire

**Feedback when correct:**  
That's right! You selected the correct response.

**Feedback when incorrect:**  
You did not select the correct response.

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**1.29 Natural threats (Multiple Response, 10 points, 3 attempts permitted)**

**Activity**

Select all of the items below that identify as Natural threats.

- Intentional contamination
- Terrorism
- Process sabotage
- Active shooter
- Intentional contamination
- Electric power
- Drought
- Truck bombs
- Wildfire

Correct	Chosen
	Intentional contamination
X	Terrorism
	Process sabotage
X	Active shooter
X	Intentional contamination
X	Electric power
	Drought
X	Truck bombs
X	Wildfire

**Feedback when correct:**  
That's right! You selected the correct response.

**Feedback when incorrect:**  
You did not select the correct response.

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**1.30 Dependency threats (Multiple Response, 10 points, 3 attempts permitted)**

**Activity**

Select all of the items below that identify as Dependency threats.

- Terrorism
- Earthquake
- Process sabotage
- Active shooter
- Telephones
- Drought
- Truck bombs
- Wildfire

Correct	Chosen
	Radiation
	Earthquake
X	Electric power
	Truck bombs
X	Desert Fuel
	Upstream contamination
X	Process chemicals
	Drought
	Truck bombs
X	Telephones

**Feedback when correct:**  
That's right! You selected the correct response.

**Feedback when incorrect:**  
You did not select the correct response.

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**1.32 Proximity threats (Multiple Response, 10 points, 3 attempts permitted)**

Correct	Choice
<input type="checkbox"/>	Bioremediation
<input type="checkbox"/>	Earthquake
<input checked="" type="checkbox"/>	Liquid nitrogen pipeline
<input type="checkbox"/>	Active shooter
<input type="checkbox"/>	Telephone
<input type="checkbox"/>	Intentional contamination
<input type="checkbox"/>	Electric power
<input type="checkbox"/>	Drought
<input type="checkbox"/>	Truck bombs
<input type="checkbox"/>	Wildfire

**Feedback when correct:**  
That's right! You selected the correct response.

**Feedback when incorrect:**  
You did not select the correct response.

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**1.32 Threat – Asset Pairs**

**Threat – Asset Pairs**

- Threat-asset (T-A) pairs are created by combining credible threats with critical assets, such as:
  - Tornado - Pump station
  - Process sabotage - Chemical metering
- T-A pairs are screened based upon initial estimates of worst reasonable consequences
  - Users select highest priority T-A pairs
  - Quantitative consequences are later calculated for those T-A pairs that "made the cut"

**Notes:**  
So now we have talked about critical assets and about threats. This brings us to a discussion of threat-asset pairs (T-A pairs). This is a very important concept in I2D because both baseline risks and risk mitigation measures are based upon T-A pairs.

Threat-asset (T-A) pairs are created by combining credible threats with critical assets, such as:

- Tornado - Pump station
- Process sabotage - Chemical metering

This can result in an extremely large number of T-A pairs.

In the next few slides, we will explain how T-A pairs can be screened to select highest priority T-A pairs based upon initial estimates of worst reasonable consequences. The lower priority T-A pairs are dropped from the analysis, and then quantitative consequences are calculated for those T-A pairs that "make the cut".

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**1.33 Example Consequence Criteria for Preliminary Screening**

**Example Consequence Criteria for Preliminary Screening**

Category	Criterion	Value	Weight	Score
Personnel	Personnel Injuries	100	10	1000
	Personnel Fatalities	10	10	100
	Personnel Property Loss	1000	10	10000
Production	Production Loss	1000000	10	10000000
	Production Downtime	1000000	10	10000000
	Production Environmental Impact	1000000	10	10000000
Reputation	Reputation Loss	1000000	10	10000000
	Reputation Downtime	1000000	10	10000000
	Reputation Environmental Impact	1000000	10	10000000
Financial	Financial Loss	1000000	10	10000000
	Financial Downtime	1000000	10	10000000
	Financial Environmental Impact	1000000	10	10000000
Other	Other Loss	1000000	10	10000000
	Other Downtime	1000000	10	10000000
	Other Environmental Impact	1000000	10	10000000

**Notes:**  
In order to conduct screening of Threat-Asset pairs based upon estimates of worst reasonable consequences, we must first develop consequence rating criteria that are calibrated for the size and budget of the utility. I2D provides the typical consequence categories to consider, and these can be adjusted by a utility. These are not all one-size-fits-all criteria and this is best accomplished using a stakeholder workshop to achieve consensus on the criteria. This table shows an example of consequence rating criteria for a water system that has a customer base of about 70,000 people. Using a stakeholder workshop, the utility reached a consensus that a \$200,000 economic loss to the utility was a relatively low impact, whereas an economic loss of greater than \$5M was a very high impact. The regional economic impact criteria were proportional to this, but about two orders of magnitude higher. Another utility that serves 5 million customers reached a consensus that \$5M was a relatively low impact and greater than \$500M was a very high impact. So, we can see the financial consequence rating criteria can vary depending on the utility size and budget. In contrast, the decision about how to rate consequence criteria for fatalities and serious injuries are often similar regardless of the size of the utility. A fatality is a tragic consequence, and no utility considers that acceptable.

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**1.34 Preliminary Screening**

**Preliminary Screening of T-A Pairs**

T-A Pair	Score	Priority
T1-A1	1000	High
T1-A2	100	Medium
T2-A1	1000	High
T2-A2	100	Medium
T3-A1	1000	High
T3-A2	100	Medium

**Notes:**  
So, once you have developed your list of threat-asset pairs, and your consequence screening criteria, you can then construct a matrix like the one shown in this slide and conduct preliminary screening of T-A pairs.

- Start by considering the worst reasonable potential threats to each T-A pair
- Then score the consequences in terms of fatalities/injuries, financial loss, and other factors such as customer confidence and environmental impact
- Prioritize the T-A pairs based upon worst reasonable consequences
- Then you can look for a natural break point in the data and eliminate the lower consequence T-A pairs and select the higher consequence T-A pairs to retain for further consideration

A word of caution though. You have to use some professional judgment here because this screening method is based solely upon consequence and not also on threat probability. It is biased towards weighing high consequence T-A pairs regardless of whether they are high probability, and may screen out medium consequence T-A pairs that are highly probable. Feel free to over-ride the bottom cutting for high probability pairs.

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**1.35 Preliminary Screening**

**Preliminary Screening of T-A Pairs**

- Conduct preliminary screening
- Apply preliminary consequence criteria
- Consider grouping pairs based upon asset type and proximity
- Use a workshop to achieve consensus on which T-A pairs to carry through the I2D process

**Notes:**  
You may be asking yourself, why do we do this bottom cutting? Why not just consider all of the T-A pairs we have identified? The reason is - it is not practical or cost-effective to take all of your initial T-A pairs through the I2D process. You may start with over 100 T-A pairs; it would take a lot of time and effort to fully evaluate that many. Using the pre-screening method we have just shown you, you may be able to reduce this list to less than 100. There are recommendations that you conduct a stakeholder workshop and use a facilitated consensus building process to whittle the list down to about 100, plus or minus 25%. This is generally a manageable number and will capture your highest priority scenarios.

Another strategy that you can use is to group similar assets and consider assessing a percentage of these and applying the results to the grouping. For example, maybe you have 20 pump stations of approximately the same size, that are all in areas with similar consequences, and that serve customers of similar criticality. You might consider assessing the physical security of just 5 of these and then apply the results to the whole group. You can use a similar strategy when evaluating dependencies on electromagnetic critical assets in the same flood zone, etc.

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**1.36 Drag and drop the following to create the proper sequence for preliminary screening of threat-asset pairs.**

(Sequence Drag and Drop, 10 points, 3 attempts permitted)

**Activity**

Drag and drop the following to create the proper sequence for preliminary screening of threat-asset pairs.

1. Identify critical assets
2. Consider the worst reasonable potential threat
3. Score the consequences
4. Prioritize based upon worst reasonable consequences
5. "Bottom-cut" and select T-A pairs to retain for further consideration

**Correct Order:**

1. Identify critical assets
2. Consider the worst reasonable potential threat
3. Score the consequences
4. Prioritize based upon worst reasonable consequences
5. "Bottom-cut" and select T-A pairs to retain for further consideration

**Feedback when correct:**  
That's right! You selected the correct response.

**Feedback when incorrect:**  
You did not select the correct response. Please review the previous slide.

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**1.37 Knowledge Check 1**  
(Multiple Response, 10 points, 3 attempts permitted)

**Knowledge Check**

Which of these are critical source water assets? Check all that apply.

- Surface water sources, dams, reservoirs
- Groundwater sources, aquifers, wells, wells fields
- Intake structures
- Fish-hatcheries, hatcheries, broodstock, broodfish
- Aquifer storage and recovery systems

Correct	Choice
X	Surface water sources, dams, reservoirs
X	Groundwater sources, aquifers, wells, wells fields
X	Intake structures
	Fish-hatcheries, hatcheries, broodstock, broodfish
X	Aquifer storage and recovery systems

**Feedback when correct:**  
That's right! You selected the correct response.

**Feedback when incorrect:**  
You did not select the correct response.

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**1.38 Knowledge Check 2 (Multiple Response, 10 points, 3 attempts permitted)**

**Knowledge Check**

Which of the following are dependency hazards?

- Fires
- Electric power and hydrocarbon supply
- Fires
- Loss of lighting equipment
- All of the above

Correct	Choice										
	Fires <tr> <td>X</td> <td>Electric power and hydrocarbon supply <tr> <td></td> <td>Fires <tr> <td></td> <td>Loss of lighting equipment <tr> <td></td> <td>Withstand firefighting equipment <tr> <td></td> <td>All of the above </td></tr></td></tr></td></tr></td></tr></td></tr>	X	Electric power and hydrocarbon supply <tr> <td></td> <td>Fires <tr> <td></td> <td>Loss of lighting equipment <tr> <td></td> <td>Withstand firefighting equipment <tr> <td></td> <td>All of the above </td></tr></td></tr></td></tr></td></tr>		Fires <tr> <td></td> <td>Loss of lighting equipment <tr> <td></td> <td>Withstand firefighting equipment <tr> <td></td> <td>All of the above </td></tr></td></tr></td></tr>		Loss of lighting equipment <tr> <td></td> <td>Withstand firefighting equipment <tr> <td></td> <td>All of the above </td></tr></td></tr>		Withstand firefighting equipment <tr> <td></td> <td>All of the above </td></tr>		All of the above
X	Electric power and hydrocarbon supply <tr> <td></td> <td>Fires <tr> <td></td> <td>Loss of lighting equipment <tr> <td></td> <td>Withstand firefighting equipment <tr> <td></td> <td>All of the above </td></tr></td></tr></td></tr></td></tr>		Fires <tr> <td></td> <td>Loss of lighting equipment <tr> <td></td> <td>Withstand firefighting equipment <tr> <td></td> <td>All of the above </td></tr></td></tr></td></tr>		Loss of lighting equipment <tr> <td></td> <td>Withstand firefighting equipment <tr> <td></td> <td>All of the above </td></tr></td></tr>		Withstand firefighting equipment <tr> <td></td> <td>All of the above </td></tr>		All of the above		
	Fires <tr> <td></td> <td>Loss of lighting equipment <tr> <td></td> <td>Withstand firefighting equipment <tr> <td></td> <td>All of the above </td></tr></td></tr></td></tr>		Loss of lighting equipment <tr> <td></td> <td>Withstand firefighting equipment <tr> <td></td> <td>All of the above </td></tr></td></tr>		Withstand firefighting equipment <tr> <td></td> <td>All of the above </td></tr>		All of the above				
	Loss of lighting equipment <tr> <td></td> <td>Withstand firefighting equipment <tr> <td></td> <td>All of the above </td></tr></td></tr>		Withstand firefighting equipment <tr> <td></td> <td>All of the above </td></tr>		All of the above						
	Withstand firefighting equipment <tr> <td></td> <td>All of the above </td></tr>		All of the above								
	All of the above										

**Feedback when correct:**  
That's right! You selected the correct response.

**Feedback when incorrect:**  
You did not select the correct response.

**Notes:**  
Correct answer: b

a) Incorrect - Fires are a natural hazard  
b) Correct - Electric power and hydrocarbon supply are dependency hazards  
c) Incorrect - Fires are a natural hazard  
d) Incorrect - Loss of lighting equipment is a hazard  
e) Incorrect - Not all of the above are dependency hazards

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**1.39 Knowledge Check 3 (Multiple Response, 10 points, 1 attempt permitted)**

**Knowledge Check**

What techniques can be used to conduct preliminary screening of T&A?

- Screening based upon risk to resources, receptors and downstream
- Stakeholder workshops
- Grouping similar assets
- None of the above
- a, b, and c

Correct	Choice								
	a. Screening based upon risk to resources, receptors and downstream <tr> <td></td> <td>b. Stakeholder workshops <tr> <td></td> <td>c. Grouping similar assets <tr> <td></td> <td>d. None of the above <tr> <td>X</td> <td>a, b, and c </td></tr></td></tr></td></tr></td></tr>		b. Stakeholder workshops <tr> <td></td> <td>c. Grouping similar assets <tr> <td></td> <td>d. None of the above <tr> <td>X</td> <td>a, b, and c </td></tr></td></tr></td></tr>		c. Grouping similar assets <tr> <td></td> <td>d. None of the above <tr> <td>X</td> <td>a, b, and c </td></tr></td></tr>		d. None of the above <tr> <td>X</td> <td>a, b, and c </td></tr>	X	a, b, and c
	b. Stakeholder workshops <tr> <td></td> <td>c. Grouping similar assets <tr> <td></td> <td>d. None of the above <tr> <td>X</td> <td>a, b, and c </td></tr></td></tr></td></tr>		c. Grouping similar assets <tr> <td></td> <td>d. None of the above <tr> <td>X</td> <td>a, b, and c </td></tr></td></tr>		d. None of the above <tr> <td>X</td> <td>a, b, and c </td></tr>	X	a, b, and c		
	c. Grouping similar assets <tr> <td></td> <td>d. None of the above <tr> <td>X</td> <td>a, b, and c </td></tr></td></tr>		d. None of the above <tr> <td>X</td> <td>a, b, and c </td></tr>	X	a, b, and c				
	d. None of the above <tr> <td>X</td> <td>a, b, and c </td></tr>	X	a, b, and c						
X	a, b, and c								

**Feedback when correct:**  
That's right! You selected the correct response.

**Feedback when incorrect:**  
You did not select the correct response.

**Notes:**  
Correct answer: e

a) Incorrect - Screening based upon risk to resources, receptors and downstream is correct, but is a partial answer. Answer e is most correct.  
b) Incorrect - Stakeholder workshops are correct, but is a partial answer. Answer e is most correct.  
c) Incorrect - Grouping similar assets is correct, but is a partial answer. Answer e is most correct.  
d) Incorrect - None of the above is not correct.  
e) Correct - a, b, and c are all techniques that can be used to conduct preliminary screening of T&A.

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**1.40 Closing**

This concludes Module 2. In this module we learned:

- How to select critical assets
- How to identify common threats and hazards
- Techniques for conducting preliminary screening of Threat-Asset Pairs

Click the  in the upper right corner to go back to the main course page.

**Notes:**

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